

File No: BIO/CT/18/000095  
Government of India  
Directorate General of Health Services  
Central Drugs Standard Control Organization  
(Biological Division)

To,  
M/s. Lupin Limited,  
Kalpataru Inspire, 3rd Floor, off Western Express Highway,  
Santacruz (East), Mumbai, Maharashtra (India) – 400055

Subject: Permission for conducting a Phase 4 clinical trial titled "Phase IV, Multicentre, Clinical Study to Assess the Safety of Lupin Etanercept in Real World Clinical Setting".

Reference:- Your Application No. BIO/Form44/FF/2018/12750 dated 31-DEC-2018 on the subject mentioned above.

Sir,

This Directorate has no objection to your conducting subject mentioned study under the provisions of Drugs and Cosmetics Rules 122-DA and 122-DAC, under the supervision of the investigators mentioned below as per Protocol No.: LRP/Lupin Etanercept/2018/002; Version 1.0, Dated: 17 Feb 2019 submitted to this Directorate.

S.No.	Name of Investigator	Clinical Trial Site Address	Name and Address of the Ethics Committee
1	Dr. Girish Gokuldaas Bhatia	Medipoint Hospital Pvt. Ltd., New DP Road, Near Sai heritage Aundh, Pune Maharashtra – 411007, India	Pentamed Ethics Committee, Medipoint Hospital Pvt. Ltd., 241/1 New DP Road, Near Sai heritage Aundh, Pune Maharashtra – 411007, India ECR/357/Inst/MH/2013/RR-16
2	Dr. Sonal Mahadev Shendkar	Lifepoint multispeciality hospital. 3 <sup>rd</sup> floor, clinical research Dept. 145/1, Mumbai-Bangalore Highway, near hotel Sayaji, Wakad, Pune 411057, Maharashtra India	LPR Ethics Committee, Lifepoint multispeciality hospital, 145/1, Mumbai-Bangalore Highway, near hotel Sayaji, Wakad, Pune 411057, Maharashtra India Regist .No. ECR/751/Inst/MH/2015/RR-18
3	Dr. Patil Nilesh Jayawant	Lifepoint multispeciality hospital. 3 <sup>rd</sup> floor, clinical research Dept. 145/1, Mumbai-Bangalore Highway, near hotel Sayaji, Wakad, Pune 411057, Maharashtra India	LPR Ethics Committee, Lifepoint multispeciality hospital, 145/1, Mumbai-Bangalore Highway, near hotel Sayaji, Wakad, Pune 411057, Maharashtra India Regist .No. ECR/751/Inst/MH/2015/RR-18

Licensing Authority as defined in clause (b) of Rule 21, issue permission for conduct of clinical trial, subject to the following conditions further, namely:- (a) Clinical trial shall be conducted in compliance with the approved protocols, requirements of Schedule Y annexed to these rules, Good Clinical Practice Guidelines for conduct of clinical trials in India and other applicable regulations;

(b) Approval of the Ethics Committee shall be obtained before initiation of the study;

(c) Clinical trial shall be registered at Clinical Trials Registry of India before enrolling the first patient for the study;

(d) Annual status report of each clinical trial, as to whether it is ongoing, completed or terminated, shall be submitted to the Licensing Authority and in case of termination of any clinical trial the detailed reasons for the same shall be communicated to the said Licensing Authority;

(e) Any report of serious adverse event occurring during clinical trial to the subject, after due analysis, shall be forwarded within fourteen days of its occurrence as per Appendix XI and in compliance with the procedures prescribed in Schedule Y;

(f) In case of an injury or death during the clinical trial to the subject of the clinical trial the applicant shall provide complete medical management and compensation in the case of trial related injury or death in accordance with Rule 122 DAB and the procedures prescribed under Schedule Y, and the details of compensation provided in such cases shall be intimated to the Licensing Authority within thirty days of the receipt of the order of the said authority;

(g) The premises of Sponsor including their employees, subsidiaries and branches, their agents, contractors and sub-contractors and clinical trial sites shall be open to inspection by the officers authorized by the Central Drugs Standard Control Organization, who may be accompanied by an officer of the State Drug Control Authority concerned, to verify compliance to the requirements of Schedule Y, Good Clinical Practices guidelines for conduct of clinical trials in India and other applicable regulations;

(h) The Sponsor including their employees, subsidiaries and branches, their agents, contractors and sub-contractors and clinical trial sites and the investigator shall allow officers authorized by the Central Drug Standard Control Organization, who may be accompanied by an officer of the State Drug Control Authority concerned, to enter with or without prior notice, any premises of sponsor including their employees, subsidiaries and branches, their agents, contractors and sub-contractors and clinical trial sites to inspect, search and seize any record, data, document, books, investigational drugs etc. related to clinical trials and provide adequate replies to any queries raised by the inspecting authority in relation to the conduct of clinical trial;

(I) Submit complete report of clinical trials as per the approved protocol from the individual investigator duly signed by him along with his observations/remarks on the drug.

(j) Indicating the date of commencement and conclusion of the clinical trial at each center (in case the study is multicentric).

It is informed that all the amendments to Rule 122DAA, inclusion of Rule 122DAB, compensation matters etc. that are appended to the Drugs & Cosmetics Act & Rule, vide GSR 53 (E) dated 30.01.2013 and in Part X-A, after Rule 122DAB, Rule 122 DAC vide GSR 63 (E) dated 01.02.2013 are mandatory and binding.

Yours faithfully,

(Dr. S. Eswara Reddy)  
Drugs Controller General (I)